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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/573,717	03/28/2006	Yoshitake Hayashi	10873.1876USWO	2188
53148 7590 12/14/2007 HAMRE, SCHUMANN, MUELLER & LARSON P.C. P.O. BOX 2902-0902 MINNEAPOLIS, MN 55402			EXAMINER CHEN, XIAOLIANG	
			ART UNIT 2841	PAPER NUMBER
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b>		<b>Applicant(s)</b>	
	10/573,717		HAYASHI ET AL.	
	<b>Examiner</b>		<b>Art Unit</b>	
	Xiaoliang Chen		2841	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 28 March 2006.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-6,9-13,15-20 and 22-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-6,9 and 24 is/are rejected.
- 7) ☐ Claim(s) 10-13,15-20, and 22-23 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 28 March 2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>28 March 2006</u> .   | 6) <input type="checkbox"/> Other: _____                          |

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claim 24 is rejected under 35 U.S.C. 102(b) as being anticipated by Nakatani et al. (US7068519).

**Re claim 24**, Nakatani et al. show and disclose

A component built-in module, comprising:

a first wiring pattern (203, fig. 2d);

an electronic component (204, fig. 2d) mounted on the first wiring pattern;

a second wiring pattern (206, fig. 2e);

an electrical insulating sheet (200, fig. 2a) with the electrical component built therein (fig. 2g), the electrical insulating sheet being disposed between the first wiring pattern and the second wiring pattern (fig. 2g); and

a via conductor (202, fig. 2c) formed in a via hole (201, fig. 2b) penetrating through the electrical insulating sheet, the via conductor

connecting electrically the first wiring pattern and the second wiring pattern (fig. 2g), wherein a side face of the via conductor (side face of 202) defines a continuous line (fig. 2c) in an axis direction of the via conductor.

***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-5 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kanzawa et al. (US20040078969) in view of Kwong (US6732428).

**Re claim 1,** Kanzawa et al. show and disclose

A method for manufacturing a component built-in module, comprising the steps of:

one principal surface of a first electrical insulating sheet (101, fig. 1),

Kanzawa et al. does not disclose

on the first electrical insulating sheet with a cavity formed penetrating therethrough,

In the same field of an electronic device, Kwong teaches:

on the first electrical insulating sheet with a cavity formed penetrating therethrough (cavity 192, fig. 2),

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the first insulating layer of Kanzawa et al. by cutting a cavity as taught by Kwong, since Kwong states in [ABSTRACT], "The cavity is sized to accommodate an electronic component therein".

Kanzawa et al. further show and disclose

laminating a second electrical insulating sheet (103, fig. 1), so as to cover the cavity, so that a third electrical insulating sheet (101 and 103, fig. 1) comprising the first electrical insulating sheet and the second electrical insulating sheet is formed;

forming a via hole (115, fig 12) so as to penetrate through the third electrical insulating sheet; filling the via hole with a conductive resin paste (as via paste material, a mixture of an electroconductive powder and a resin is used [0074]);

disposing a first wiring board (101, fig. 11) with a first wiring pattern (102) and an electronic component (108) mounted on the first wiring pattern so as to face a principal surface of the third electrical insulating sheet at which the cavity has been formed, and disposing a second wiring board (101, fig. 9) with a second wiring pattern (102) so as to be opposed to the first wiring board with respect to the third electrical insulating sheet;

stacking the first wiring board, the third electrical insulating sheet and the second wiring board so that the electronic component is built in the cavity and the

via hole is disposed between the first wiring pattern and the second wiring pattern (fig. 13); and

applying heat and pressure (as shown in FIG. 22, the carrier 1 on which the circuit pattern 12 is formed and the electrical insulating layer 4 in which the vias 5 are formed are superposed one on another while being aligned in predetermined positions, followed by heating and pressing. The bare semiconductor element 8 and the circuit pattern 12 are thereby embedded in the electrical insulating layer 4. [0007]) by hot pressing to the stacked first wiring board, third electrical insulating sheet and second wiring board, so that the first wiring pattern and the second wiring pattern are connected electrically through a via conductor (5, fig. 22) made of the conductive resin paste.

**Re claim 2,** Kanzawa et al. show and disclose

The method for manufacturing a component built-in module according to claim 1,

Kanzawa et al. does not disclose

wherein the third electrical insulating sheet is formed by further laminating a fourth electrical insulating sheet with a cavity formed penetrating therethrough so as to be opposed to the first electrical insulating sheet with respect to the second electrical insulating sheet, the second wiring board disposed to be opposed to the first wiring board further comprises an electronic component mounted on the second wiring pattern, and the first wiring board, the third electrical insulating sheet and

the second wiring board are stacked so that the electronic component mounted on the second wiring pattern is built in the cavity formed in the fourth electrical insulating sheet.

In the same field of an electronic device, Kwong teaches:

wherein the third electrical insulating sheet is formed by further laminating a fourth electrical insulating sheet (132, fig. 2) with a cavity (164, fig. 2) formed penetrating therethrough (fig. 2) so as to be opposed to the first electrical insulating sheet with respect to the second electrical insulating sheet, the second wiring board disposed to be opposed to the first wiring board further comprises an electronic component (156, fig. 2) mounted on the second wiring pattern, and the first wiring board, the third electrical insulating sheet and the second wiring board are stacked so that the electronic component mounted on the second wiring pattern is built in the cavity formed in the fourth electrical insulating sheet (fig. 2).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the electronic device of Kanzawa et al. by adapting a fourth electrical insulating sheet and an electronic component as taught by Kwong, since Kwong states in [ABSTRACT], "The cavity is sized to accommodate an electronic component therein".

**Re claim 3,** Kanzawa et al. show and disclose

The method for manufacturing a component built-in module according to claim 1,



Kanzawa et al. does not disclose

wherein the second electrical insulating sheet comprises a cavity penetrating therethrough, the cavity being formed at a position that does not overlap with a position of the cavity formed in the first electrical insulating sheet, the second wiring board disposed to be opposed to the first wiring board further comprises an electronic component mounted on the second wiring pattern, and the first wiring board, the third electrical insulating sheet and the second wiring board are stacked so that the electronic component mounted on the second wiring pattern is built in the cavity formed in the second electrical insulating sheet.

In the same field of an electronic device, Kwong teaches:

wherein the second electrical insulating sheet comprises a cavity (176, fig. 2) penetrating therethrough, the cavity being formed at a position that does not overlap with a position of the cavity (192, fig. 2) formed in the first electrical insulating sheet, the second wiring board disposed to be opposed to the first wiring board further comprises an electronic component (170, fig. 2) mounted on the second wiring pattern, and the first wiring board, the third electrical insulating sheet and the second wiring board are stacked so that the electronic component mounted on the second wiring pattern is built in the cavity formed in the second electrical insulating sheet (fig. 2).



Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the second electrical insulating sheet of Kanzawa et al. by adapting a cavity and an electronic component as taught by Kwong, since Kwong states in [ABSTRACT], "The cavity is sized to accommodate an electronic component therein".

**Re claim 4,** Kanzawa et al. show and disclose

The method for manufacturing a component built-in module according to claim 1,

wherein the first wiring board further comprises a supporting member (a supporting member 0014]) with the first wiring pattern formed thereon, and after applying heat and pressure by the hot pressing, the supporting member is removed (removing the pattern layer from the supporting member [0016]).

**Re claim 5,** Kanzawa et al. show and disclose

The method for manufacturing a component built-in module according to claim 1,

Kanzawa et al. does not disclose

wherein the cavity formed in the first electrical insulating sheet comprises a first cavity and a second cavity, the second electrical insulating sheet comprises a third cavity communicating with the second cavity, the electronic component mounted on the first wiring pattern of the first wiring board comprises a first electronic component and a second

electronic component whose height is larger than that of the first electronic component, and the first wiring board, the third electrical insulating sheet and the second wiring board are stacked so that the first electronic component is built in the first cavity and the second electronic component is built in the second cavity and the third cavity.

In the same field of an electronic device, Kwong teaches:

wherein the cavity formed in the first electrical insulating sheet comprises a first cavity (190, fig. 2) and a second cavity (176), the second electrical insulating sheet comprises a third cavity communicating with the second cavity (192), the electronic component mounted on the first wiring pattern of the first wiring board comprises a first electronic component (190) and a second electronic component (170) whose height is larger than that of the first electronic component (fig. 2), and the first wiring board, the third electrical insulating sheet and the second wiring board are stacked so that the first electronic component is built in the first cavity and the second electronic component is built in the second cavity and the third cavity (fig. 2).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the electrical insulating sheets of Kanzawa et al. by adding cavities and adding electronic components to fit in the cavities as taught by Kwong, since

Kwong states in [ABSTRACT], "The cavity is sized to accommodate an electronic component therein".

3. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kanzawa et al. in view of Kwong as applied to claim 1 above, and further in view of Hirano et al. (US7022276).

**Re claim 6,** Kanzawa et al. show and disclose

The method for manufacturing a component built-in module according to claim 1,

Kanzawa et al. does not disclose

wherein the first electrical insulating sheet and the second electrical insulating sheet comprise 70 to 95 weight% of inorganic filler and 5 to 30 weight% of uncured thermosetting resin composition.

In the same field of an electronic device, Hirano et al. teaches:

wherein the first electrical insulating sheet and the second electrical insulating sheet comprise 70 to 95 weight% of inorganic filler and 5 to 30 weight% of uncured thermosetting resin composition. (a thermal conductive resin composition including 70 to 95 mass % of an inorganic filler and 5 to 30 mass % of a resin composition [ABSTRACT])

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the insulating layer of Kanzawa et al. by adapting same amount of inorganic filler and uncured thermosetting resin composition as taught by Hirano et al., in order to

improve productivity and reduce the cost in processing the holes. (Hirano et al., [ABSTRACT]).

4. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kanzawa et al. in view of Kwong as applied to claim 1 above, and further in view of Sugaya et al. (US6931725).

**Re claim 9,** Kanzawa et al. show and disclose

The method for manufacturing a component built-in module according to claim 1,

Kanzawa et al. does not disclose

wherein after attaching a protective film to a principal surface of the third electrical insulating sheet, the via hole is formed so as to penetrate through the protective film and the third electrical insulating sheet.

In the same field of an electronic device, Sugaya et al. teaches:

wherein after attaching a protective film (a protective film [col. 4, line 45]) to a principal surface (bottom) of the third electrical insulating sheet, the via hole is formed (fig. 4) so as to penetrate through the protective film and the third electrical insulating sheet.

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the electronic device of Kanzawa et al. by adding a protective film as taught by Sugaya et al., "thus the connection between the circuit component and the wiring pattern is stable and the reliability is further improved. " (Sugaya et al., [col. 4, line 49]).

***Allowable Subject Matter***

1. **Claims 10-16 and 17-23 are objected to** as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

The following is a statement of reasons for the indication of allowable subject matter: Claims 10, 17 and all claims dependent thereof are allowable over the art of record because the prior art does not teach or suggest that a module or an apparatus having,

For claim 10;

wherein the via hole is filled with the conductive resin paste by the steps of: (i) disposing the conductive resin paste on a principal surface of the third electrical insulating sheet; (ii) applying the conductive resin paste over the principal surface so that a paste layer made of the conductive resin paste with a predetermined thickness is formed around an opening of the via hole on the principal surface, while filling the via hole with the conductive resin paste; and (iii) scraping the paste layer off from the principal surface, while filling the via hole with the conductive resin paste.

For claim 17;

wherein after attaching a protective film to a principal surface of the third electrical insulating sheet, the via hole is formed so as to penetrate through the protective film and the third electrical insulating sheet; and

the via hole is filled with the conductive resin paste by the steps of: (i) disposing the conductive resin paste on a principal surface of the protective film; (ii) applying the conductive resin paste over the principal surface of the protective film so that a paste layer made of the conductive resin paste with a predetermined thickness is formed around an opening of the via hole on the principal surface of the protective film, while filling the via hole with the conductive resin paste; and (iii) scraping the paste layer off from the principal surface of the protective film, while filling the via hole with the conductive resin paste.

### ***Conclusion***

1. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

US-6774316, US-20050124197, US-20040041243 disclose component built-in modules related to the application.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Xiaoliang Chen whose telephone number is 571-272-9079. The examiner can normally be reached on 7:00-5:00 (EST), Monday-Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Diego Gutierrez can be reached on 571-272-2245. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for

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Xiaoliang Chen X<sup>e</sup>,  
Examiner  
Art Unit 2841



**Diego Gutierrez**  
**Supervisory Patent Examiner**  
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